

12-04-01 LK  
EXPRESS MAILING LABEL NO. EL899799373US

SS PATENT  
Docket no. 262-23-219

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12/18/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Technology Center 2600

Applicants: McDowell, Samuel Keith

Serial No.: 09/432,917

Examiner:

Filed: 11-02-99

Art Unit: 2772

Title: SYSTEM AND METHOD FOR PROVIDING INTERACTIVE AUDIO IN A  
MULTI-CHANNEL AUDIO ENVIRONMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

**TRANSMITTAL OF SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
BEFORE MAILING DATE OF EITHER A FINAL ACTION OR NOTICE OF  
ALLOWANCE (37 CFR 1.97(C))**

Sir:

Pursuant to 37 C.F.R. 1.56 and 1.97(c), applicants bring to the attention of the Examiner the documents listed on the attached Form PTO/SB/08A. This information Disclosure Statement transmitted herewith is being filed after three months of the filing date of this national application or the date of entry of the national stage as set forth in section 1.491 in an international application or after the mailing date of the first Office action on the merits, whichever event occurred last but before the mailing date of either:

- (1) a final action under section 1.113; or
- (2) a notice of allowance under section 1.311,

whichever occurs first.

Each item of information contained in the enclosed information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the

date of filing of this supplemental information disclosure statement.

Accompanying this transmittal is:

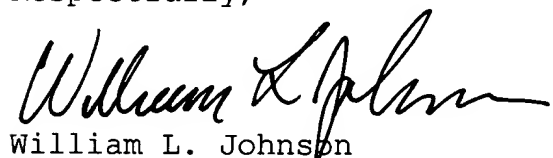
- (1) Form PTO/SB/08A (substitute for Form 1449); and
- (2) copies of the listed references.

Applicants respectfully request that the Examiner consider the listed documents and evidence that consideration of relevant portions thereof by making appropriate notations on the attached form.

The submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

Respectfully,

 10/22/01  
William L. Johnson  
Reg. No. 41,876  
Attorney for Applicants  
Koppel and Jacobs  
555 St. Charles Drive, Suite 107  
Thousand Oaks, CA 91360  
Phone 805 373 0060